

PATENT

(Practitioner's Docket No. IN- 5546)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Klaus ARLT et al.

Serial No.: 10/049,224.

Group Art Unit: Not Assigned

Filed: February 7, 2002

Examiner: Not Assigned

For: INTEGRATED COATING METHOD FOR AUTO BODY PARTS CONTAINING PLASTIC PARTS
OR FOR CABINS OF PASSENGER CARS AND UTILITY VEHICLES AS WELL AS FOR THEIR
REPLACEMENT PARTS AND ADD-ON PARTS

Commissioner of Patents
Washington, D.C. 20231

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being facsimile transmitted to the Patent
and Trademark Office fax No.: (703) 872-9310 | Examiner |
on the date shown below:

- ☒ Information Disclosure Statement on 3 pages in duplicate
☒ Form PTO-1449 1 page
☒ Cited art ZA 84/5117 on 34 pages

Total pages including this one. 42

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Marjorie Ellis

TC 1700(Typed or printed name of person signing
certification)

Signature

(Certificate of Facsimile Transmission [8-7])

PATENT

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Assistant Commissioner of Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

- ☒ Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

COPIES PROVIDED:

- ☒ Legible copies of all items listed on Form PTO-1449 accompany this information statement, except as indicated below:
- ☐ Under 35 U.S.C. §120, this application relies on the earlier filing date of prior application Serial No. [____], filed on [____]. The following references were submitted to and/or cited by the Office on this prior application and are therefore not required to be provided in this application:
- ☐ In accordance with 37 C.F.R. §1.98(c), a copy of only [____] is being submitted with this Information Disclosure Statement, and is cumulative of the following patents or publications listed on Form PTO-1449:

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Page 2

FOREIGN LANGUAGE DOCUMENTS

- ☐ There are no foreign language documents listed on Form PTO-1449.
- ☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of portions of those patents, publications or information considered to be material:
- ☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.
- ☒ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith: ZA84/5117 IS THE ENGLISH EQUIVALENT OF DE 33 24211.9, WHICH WAS PREVIOUSLY SUBMITTED ON 2/7/02.
- ☐ Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.
- ☐ A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:
- ☐ A concise explanation of the relevance of the following listed non-English language information is set forth in the above-identified specification:
- Information Disclosure Statement
- ☒ The Information Disclosure Statement transmitted herewith is being filed within three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.
- ☐ The Information Disclosure Statement transmitted herewith is being filed after three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:
- ☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); OR

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- ☐ That no item of information contained in the Information Disclosure Statement was cited

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in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); OR

☐ Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the \$230.00 IDS submission fee to BASF Corporation Deposit Account No. 23-3425. A duplicate of this paper is enclosed.

☐ The Information Disclosure Statement transmitted herewith is being filed **After a Final Action** under 37 C.F.R. §1.113, or **After a Notice of Allowance** under 37 C.F.R. §1.311, whichever occurs first, but **Before Payment of the Issue Fee**. Accordingly, I hereby certify that:

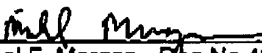
☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); OR

☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); AND

☐ Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of \$130.00 to BASF Corporation Deposit Account No. 23-3425. A duplicate of this paper is enclosed.

The Commissioner is authorized to charge BASF Corporation Deposit Account No. 23-3425 for any additional fees due hereunder.

Respectfully submitted,


Michael F. Morgan, Reg No. 42,906
BASF Corporation
26701 Telegraph Road
Southfield, Michigan 48034-2442
Tel. No.: (248) 948-2355

FORM PTO-1449
(MODIFIED)US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. Docket No. IN-5546

LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

In re Application of: ARLT et al.

Serial No.: 10/049,224

Filed: February 7, 2002

U.S. PATENT DOCUMENTS							
Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS								
Examiner Initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	ZA 84/5117	08.01.85	ZA	C09D		X	
	AM							
	AN							
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
AR	
AS	
AT	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

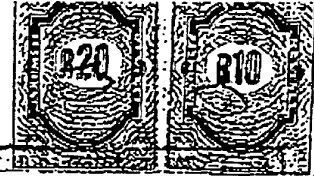
*Applicant has modified this form by deleting the word "prior".

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BASF SOUTHFIELD LEGAL
APPLICATION FOR A PATENT AND
ACKNOWLEDGEMENT OF RECEIPT
(Section 30(1) — Regulation 22)

#3378 P.008

FORM P1

The grant of a patent is hereby requested by the undermentioned
applicant on the present application filed in duplicate.

Official application No.		J & K Ref:	
21	01	845117	AP 23774
71	Full name(s) of applicant(s) NAME VERANDER BASF LACKE & FASERN AG. NAME CHANGED BASF FARBEN + FASERN AKTIENGESELLSCHAFT A legal body organised as 1987-05-14 existing under the laws of the Federal Republic of Germany.		
Address(es) of applicant(s) Am Neumarkt 30, 2000 Hamburg 70, Germany.			
54	Title of invention HEAT-HARDENABLE BINDER MIXTURE.		

☒

The applicant claims priority as set out on the accompanying form P2

☐

This application is for a patent of addition to Patent / Application No.

☐

This application is a fresh application in terms of section 37 and based on Application No.

☐

This application is accompanied by:

☐

1a A single copy of a provisional specification of pages

24	01	
21	01	

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